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E.O. 11652: XGDS1 TAGS: ESTC, COCOM

SUBJECT: COCOM DISCUSSION ON IL 1091 AND IL 1500 NI

REF: A) COCOM DOC. DEF(77)1091/17, B) COCOM DOC. DEF 1091/42 REVISED, C) STATE 004184, D) PARIS 01858, E) STATE 009596

1. SUMMARY. AT COCOM DISCUSSIONS JANUARY 17-19, ALL DELEGATIONS BUT U.S. REAFFIRMED THEIR SUPPORT OF BELGIAN IL 1091 PROPOSAL DECONTROLLING THREE-AXIS NUMERICAL CONTROL UNITS AND LIBERALIZING CONTROLS OVER LARGE MACHINE TOOLS. ALL DELEGATIONS EXCEPT GERMANY HAD MAJOR DIFFICULTIES WITH US 1500 NI PROPOSAL PRIMARILY BECAUSE IT RETAINS THE EMBARGO CONCEPT BASED ON MICROPROCESSORS, MINICOMPUTERS AND COMPUTERS AND PROPOSES EXTENSION OF EMBARGO CONTROLS OVER ALL CONTOURING NUMERICAL CONTROL UNITS INCORPORATED ON MACHINES. BASED ON PRESENT POSITIONS OF OTHER MEMBERS, THERE WOULD APPEAR TO BE LITTLE HOPE OF GETTING AGREEMENT FOR BROADENING CONTROLS OVER NC UNITS UNLESS THE US IS PREPARED TO DECONTROL TWO-AXIS CONFIDENTIAL

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CNC'S AND TO LIBERALIZE THREE-AXIS NC'S. END SUMMARY

2. ON JANUARY 17-19 BELGIAN IL 1091 AND US
IL 1091/1500NI PROPOSALS (REFS A AND B) WERE DISCUSSED
AT SPECIAL COCOM CONFERENCE. AT OPENING FULL
COMMITTEE SESSION BELGIAN DELEGATION STATED THAT
BELGIUM WOULD NOT SEND ANY MORE TECHNICIANS TO

PARTICIPATE IN 1091 DISCUSSIONS UNLESS PRESENT
NEGOTIATIONS RESULTED IN APPROVAL OF BELGIAN PROPOSAL
OR IN ACCEPTABLE COMPROMISE WHICH WOULD HELP MACHINE
TOOL INDUSTRY IN BELGIUM. BELGIAN DEL NOTED THAT
U.S. IL 1091 PROPOSAL WAS STILL VERY CONSERVATIVE
SINCE IT MADE NO CHANGES FROM PREVIOUS U.S. SUBMISSION
WITH REGARD TO TRAVEL AND HORSEPOWER PARAMETERS.
IN STATEMENT INTRODUCING U.S. PROPOSAL, USDEL
RESPONDED THAT BELGIAN PROPOSAL WAS STILL UNDER ACTIVE
STUDY BY U.S. AND THAT IT WAS ANTICIPATED THAT THERE
WOULD BE U.S. POSITION IN TIME FOR THE LIST REVIEW.
USDEL REMINDED COMMITTEE THAT WHEN PRESENT MEETING
WAS BEING SCHEDULED LAST NOVEMBER, U.S. HAD MADE IT
CLEAR THAT IT WOULD NOT BE PREPARED TO TAKE DEFINITIVE
POSITION ON BELGIAN PROPOSAL IN JANUARY NEGOTIATIONS.

3. IN JANUARY 17 TECHNICAL WORKING GROUP (TWG) ON BELGIAN PROPOSAL, THE U.S. REITERATED USG CONCERNS REGARDING A BROAD LIBERALIZATION OF LARGE MACHINE TOOLS AND QUERIED BELGIAN AND OTHER DELEGATIONS ON WHETHER A COMPROMISE COULD BE REACHED BY LIBERALIZING ONLY FLOOR-TYPE HORIZONTAL BORING MILLS WITH THE TRAVEL AND HORSEPOWER CUTOFFS PROPOSED BY THE BELGIANS. OTHER DELEGATIONS EXPRESSED CONSIDERABLE INTEREST IN THIS TYPE OF APPROACH. THE BELGIAN DELEGATION INDICATED GENERAL CONCURRENCE TO IDEA OF RETAINING CONFIDENTIAL

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THE 3000 MM CUTOFF IN THE DEFINITION IF THE Y-TRAVEL COULD BE INCREASED TO 5000 MM IN THE PROPOSED ADMINISTRATIVE EXCEPTIONS NOTE. BOTH ITALY AND THE U.K. EXPRESSED A PREFERENCE TO INCREASE THE 3000 MM X-TRAVEL CUT-OFF IN THE DEFINITION, WITH THE U.K. FAVORING 5000 MM AND ITALY NOT SPECIFYING A FIGURE. GERMANY INDICATED IT MIGHT MAKE PROPOSAL TO EXEMPT FROM EMBARGO CERTAIN LARGE MACHINE TOOLS (35,000 MM TRAVEL) USED IN DIESEL ENGINE MANUFACTURE. (FOLLOWING 1091 TWG, BELGIAN TECHNICIANS TOLD USDEL THAT THEY HAD FOUND DISCUSSION VERY USEFUL. BELGIUM DID NOT PARTICIPATE IN REST OF CONFERENCE.)

4. IN FULL COMMITTEE THE U.S. INDICATED THAT THE USG WOULD GIVE FURTHER SERIOUS CONSIDERATION TO THE BELGIAN PROPOSAL IN LIGHT OF THE DISCUSSIONS OF THE WORKING GROUP. IT WAS AGREED THAT THE OTHER MEMBERS WOULD GIVE FURTHER STUDY TO APPROACH SUGGESTED BY U.S. (PARA 3). AT FINAL SESSION OF CONFERENCE, OTHER

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DELEGATIONS EXPRESSED HOPE THAT U.S. COULD HAVE FINAL POSITION ON BELGIAN PROPOSAL BEFORE THE LIST REVIEW. NETHERLANDS MADE SUGGESTION (SUPPORTED BY OTHERS) THAT IF U.S. WOULD NOT HAVE FINAL POSITION IN NEAR FUTURE, IT COULD PERHAPS APPROVE BELGIAN PROPOSAL WITH VALIDITY UNTIL END OF FIRST SESSION OF THE LIST REVIEW. USDEL INDICATED THAT IT WOULD REFER THIS IDEA TO ITS AUTHORITIES BUT SAID IT DID NOT KNOW WHEN THERE WOULD BE RESPONSE. USDEL SUCCESSFULLY RESISTED CHAIRMAN'S ATTEMPT TO SET DATE FOR U.S. RESPONSE ON THIS IDEA.

5. AT JANUARY 18-19 TWG DISCUSSION OF U.S. 1500 NI PROPOSAL, ONLY GERMANY SPOKE OUT IN SUPPORT OF THAT PROPOSAL WHICH IT SAID IT COULD ACCEPT WITH CERTAIN INCREASES IN CUTOFFS IN NOTE 1. THE TWG DISCUSSION UNDERSCORED THE FOLLOWING SIGNIFICANT PROBLEM AREAS WHICH NEED TO BE RESOLVED IF AGREEMENT IS TO BE REACHED ON 1500 NI.

A. THE GENERAL OPPOSITION TO EXTENSION OF EMBARGO CONTROLS OVER ALL CONTOURING NUMERICAL CONTROL UNITS REGARDLESS OF THE TYPE OF MACHINE OR EQUIPMENT THEY ARE USED WITH OR INCORPORATED ON.

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- B. THE INTEREST OF OTHER MEMBERS IN SEEING:
- (1) ABOLISHMENT OF THE DISTINCTION DRAWN IN THE U.S. PROPOSAL BETWEEN NUMERICAL CONTROL UNITS CONTAINING MICROPROCESSORS, MINICOMPUTERS AND THOSE WHICH DO NOT (THE EMBEDDED ISSUE);
- (2) A GREATER LIBERALIZATION OF THREE-AXIS NUMERICAL CONTROL UNITS.
- (3) A MODIFICATION OF THE U.S. STANCE ON DNC SYSTEMS TO PERMIT SIMPLE, UNSOPHISTICATED DNC'S TO BE EXPORTED;
- (4) AN INCREASE IN THE CUTOFFS IN NOTE 1 TO TAKE INTO ACCOUNT DIFFERENT NC DESIGN CONCEPTS FOUND IN EUROPEAN NC SYSTEMS. (THE U.S. FALLBACK POSITIONS (REF C) WERE ADEQUATE EXCEPT FOR PRIMARY MEMORY. INCREASES WOULD ALSO BE NECESSARY FOR TOTAL CONNECTED CAPACITY AND ACCESS TIME TO MEET OTHER MEMBERS' POSITIONS.)
- 6. WITH REGARD TO ITEM 5 A ABOVE, ON BASIS OF THE DISCUSSIONS, THERE SEEMS TO BE LITTLE HOPE THAT U.S. CAN GET AGREEMENT ON STRENGTHENING CONTROLS OVER NC UNITS WITHOUT (A) DECONTROLLING 2-AXIS CNC UNITS BY ABOLISHING THE DISTINCTION BETWEEN HARDWIRED UNITS AND THOSE UNITS WITH EMBEDDED COMPUTERS; AND (B) LIBERALIZING CONTROLS OVER UNATTACHED THREE-AXIS CNC UNITS; AND (C) INCREASING THE CUTOFFS IN NOTE 1.
 ALL DELEGATIONS EXCEPT GERMANY WERE CONCERNED OVER THE PROSPECT OF APPLYING EMBARGO CONTROLS OVER UNEMBARGOED ITEMS SUCH AS WOODWORKING MACHINES, TUBE BENDERS, ETC. BECAUSE OF THE INCORPORATION OF CONTOURING NUMERICAL CONTROLS ON THIS TYPE OF EQUIPMENT. (IT CONFIDENTIAL

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APPEARED EVIDENT FROM DISCUSSION THAT SEVERAL MEMBERS DO NOT NOW CONSIDER CONTOURING NC'S EMBARGOED IF MOUNTED ON UNEMBARGOED, NON-METAL REMOVAL EQUIPMENT.) ALMOST ALL DELEGATIONS WERE EQUALLY DISTURBED BY EFFORTS OF U.S. TO EXTEND EMBARGO CONTROLS OVER ALL DNC SYSTEMS WITHOUT CONSIDERATION FOR SIMPLE

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SYSTEMS USED, FOR EXAMPLE, TO DRIVE DRAFTING MACHINES. ANY AGREEMENT IN THIS ISSUE WOULD CLEARLY REQUIRE SOME DIFFERENTIATION BETWEEN LARGE COMPLEX SYSTEMS OF STRATEGIC SIGNIFICANCE AND THESE SIMPLER SYSTEMS.

- 7. WITH REGARD TO THE "EMBEDDED ISSUE", THE NETHERLANDS DELEGATION REITERATED THEIR OPPOSITION TO EMBARGOING ITEMS BECAUSE THEY CONTAIN MICROPROCESSORS OR COMPUTERS. IT SUBMITTED PROPOSAL (COCOM DOC DEF 1091/43 PROC(78)1) WHICH ARGUES THAT ISSUE WAS RESOLVED IN 1974 LIST REVIEW AND CALLS FOR MEETING BEFORE NEXT LIST REVIEW ON THIS PROBLEM. TEXT OF PROPOSAL IN REF D)
- 8. DISCUSSION OF THE U.S. 1091 PROPOSAL RAISED THE FOLLOWING AREAS OF DISAGREEMENT (IN ADDITION TO FACT THAT IT DOES NOT ADDRESS MAJOR ELEMENTS OF BELGIAN PROPOSAL):
- A. DEFINITION OF POSITIONING ACCURACY IS STILL UNACCEPTABLE TO SEVERAL DELEGATIONS AND GERMANY RECOMMENDED ITS DELETION.
- B. THE 0.5 DEGREE LIMITATION ON THE POSITIONING OF THE ROTARY AXIS IS MAJOR CONCERN TO ALL DELEGATIONS CONFIDENTIAL

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SINCE THEY CONSIDER IT AN EXTENSION OF THE EMBARGO.

C. OTHER DELEGATIONS RECOMMENDED THAT IF U.S. WISHES TO COVER TRANSDUCERS (AS IN SUB-ITEM B) IT WOULD BE MORE APPROPRIATE IN IL 1568 OR IL 1093. IN ACCORDANCE WITH INSTRUCTIONS REF E, USDEL INDICATED

THAT IT WOULD BE SUBMITTING FORMAL DEFINITIONAL PROPOSAL FOR INCLUSION UNDER IL 1093. (ACTION REQUESTED: USDEL REQUESTS WORDING FOR SUCH A PROPOSAL ALONG WITH JUSTIFICATION.)

8. ADDITIONAL TECHNICAL DETAILS OF THE JAN 17-19 DISCUSSIONS WILL FOLLOW SEPTEL.

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